

04/09/01
31093 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No. SMIO.0100001 Total Pages 80
First Inventor or Application Identifier Schwartz, et al.
Title METHOD AND SYSTEM FOR FACILITATING MEDIATED
COMMUNICATION
Express Mail Label No. EK855711109US

31093 U.S. PTO
09/829515
04/09/01

| | |
|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification Total Pages 53
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawings (35 USC 113) Total Sheets 19

4. Oath or Declaration Total Pages 2
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of
Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Applicant claims small entity status.
See 37 CFR 1.27
☐ Statement filed in Prior Application,
Status still proper and desired.
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Request & Certification Under 35 U.S.C.
122(b)(2)(B)(i)
16. ☐ Other

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior Application Information: Examiner

Group / Art Unit:

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 4B, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby
incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted
application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 27412

or, ☐ Correspondence Address Below


Simon, Galasso & Frantz, PLC

P.O. Box 26503

Austin, Texas 78755-0503

Telephone: 512-336-8957

Facsimile: 512-336-9155

| | | | |
|----------------------------------------------|-------------------------------------------------------------------------------------|------|---------------|
| Name and Registration Number (Print/Type) | David O. Simmons, Reg. No. 43,124 | | |
| Signature |  | Date | April 9, 2001 |

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,005.00

Complete if Known

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

| | |
|------------------------|------------------------------|
| Deposit Account Number | 50-1259 |
| Deposit Account Name | Simon, Galasso & Frantz, PLC |

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710 201 355 | | Utility filing fee | 355.00 |
| 106 320 206 160 | | Design filing fee | |
| 107 490 207 245 | | Plant filing fee | |
| 108 710 208 355 | | Reissue filing fee | |
| 114 150 214 75 | | Provisional filing fee | |

SUBTOTAL (1) (\$) 355.00

2. CLAIMS

| Claims | Extra | Fee from below | Fee Paid |
|---------------|------------|----------------|----------|
| Total 70 | (-20 =) 50 | 9.00 | 450.00 |
| Indep. 7 | (-3 =) 4 | 40.00 | 160.00 |
| Multiple Dep. | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---------------------------------------------------------|
| 103 18 203 9 | | Claims in excess of 20 |
| 102 80 202 40 | | Independent claims in excess of 3 |
| 104 270 204 135 | | Multiple dependent claim |
| 109 80 209 40 | | Reissue independent claims over original patent |
| 110 18 210 9 | | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 610.00


| | |
|------------------------|------------------|
| Application Number | |
| Filing Date | April 9, 2001 |
| First Named Inventor | Schwartz, et al. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | SMIO.0100001 |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|----------------------------------------------------------------------------|----------|
| 105 130 205 65 | | Surcharge - late filing fee or oath | |
| 127 50 227 25 | | Surcharge - late provisional filing fee or cover sheet. | |
| 139 130 139 130 | | Non-English specification | |
| 147 2,520 147 2,520 | | For filing a request for reexamination | |
| 112 920* 112 920* | | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* 113 1,840* | | Requesting publication of SIR after Examiner action | |
| 115 110 215 55 | | Extension for reply within first month | |
| 116 390 216 195 | | Extension for reply within second month | |
| 117 890 217 445 | | Extension for reply within third month | |
| 118 1,390 218 645 | | Extension for reply within fourth month | |
| 128 1,890 228 945 | | Extension for reply within fifth month | |
| 119 310 219 155 | | Notice of Appeal | |
| 120 310 220 1550 | | Filing a brief in support of an appeal | |
| 121 270 221 135 | | Request for oral hearing | |
| 138 1,510 138 1,510 | | Petition to institute a public use proceeding | |
| 140 110 240 55 | | Petition to revive - unavoidable | |
| 141 1,240 241 620 | | Petition to revive - unintentional | |
| 142 1,240 242 620 | | Utility issue fee (or reissue) | |
| 143 440 243 220 | | Design issue fee | |
| 144 600 244 300 | | Plant issue fee | |
| 122 130 122 130 | | Petitions to the Commissioner | |
| 123 50 123 50 | | Petitions related to provisional applications | |
| 126 240 126 240 | | Submission of Information Disclosure Stmt | |
| 581 40 581 40 | | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 710 246 355 | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 710 249 355 | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | |
| Other fee (specify) | | | |

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$) 40.00**

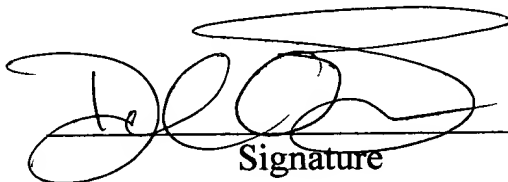
| | | | | | |
|---------------------------------------------|-------------------------------------------------------------------------------------|-----------------------------------|----------|--------------------------|--|
| SUBMITTED BY: Simon, Galasso & Frantz, PLC. | | | | Complete (if applicable) | |
| Typed or Printed Name | | David O. Simmons, Reg. No. 43,124 | | | |
| Signature |  | Date | 04-02-01 | Deposit Account User ID | |

**REQUEST AND
CERTIFICATION UNDER 35
U.S.C. 122(b)(2)(B)(i)**

| | |
|----------------------|-----------------------------------------------------------------|
| First Named Inventor | Schwartz, et al. |
| Title | METHOD AND SYSTEM FOR FACILITATING MEDIATED COMMUNICATION |
| Atty Docket Number | SMIO.0100001 |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. However, the Applicant retains the option to rescind this request at any time. I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

April 9, 2001
Date


Signature

David O. Simmons, Reg. No. 43,124
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published at eighteen months from the earliest claiming filing date for which a benefit is claimed.

If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the Applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwartz, et al.

Examiner:

Serial No:

Art Group:

Filing Date: April 9, 2001

Docket No: SMIO.0100001

Title: METHOD AND SYSTEM FOR FACILITATING MEDIATED
COMMUNICATION



To the Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL855711109US

Name of Depositor: **Terri Alloway**
(print or type)

Date of Deposit: April 9, 2001

Signature: Terri Alloway

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

- Items accompanying this Certificate of Express Mailing:
- ☒ Filing papers for a new patent application, such filing papers include:
- ☒ A specification and drawings for a new patent application;
 - ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
 - ☒ Transmittal cover letter;
 - ☒ Fee Transmittal;
 - ☒ Assignment agreement with Recordation Form Cover Sheet;
 - ☒ Combined Declaration and Power of Attorney;
 - ☒ Return upon receipt post card.